

REQUALIFICATION FILING REQUIREMENTS YEAR 2024



In order to continue to qualify for publication on ELANY's list of E&S insurers, an insurer must maintain a minimum surplus to policyholders of \$48,000,000 and be licensed by its state of domicile to write the lines of business it seeks to write on a surplus lines basis in New York and provide to ELANY and the New York Department of Financial Services these documents.

In order to appear on ELANY's published list of E&S Insurers, please e-mail the documents listed below to asarfo@elany.org and the New York Department of Financial Services (jose.joseph@dfs.ny.gov), or mail to:

Excess Line Association of New York

120 Wall Street, 24th Floor
New York, New York 10005
Attn: Alex Sarfo
Financial Director

New York State Department of Financial Services, Insurance Division

1 State Street
New York, New York 10004-1511
Attn: Jose Joseph
Supervising Insurance Examiner, Property Bureau, Second Floor

Should you need further assistance, please contact Alex Sarfo, Financial Director, at 646-292-5572 or asarfo@elany.org.

Filing Requirements

Document	Description
1 Current license or Certificate of Authority	If there are changes to your original license , provide a copy of the most recent license indicating the lines of business currently authorized to write.
2 2023 Annual Statutory Financial Statement	Provide a signed notarized 8 ½ x 14". (Due March 15, 2024)
3 2023 IRIS Test Results	A copy of the company's 2023 NAIC IRIS Test Results, and where applicable, any responses to unusual values.
4 Management Discussion and Analysis (MD&A)	A copy of the MD&A that accompanies the 2023 Annual Statutory Financial Statement.
5 Report on Examination and Market Conduct Report, if applicable	A copy of the latest Report on Examination and Market Conduct Report, if applicable, performed by the domicile state.
6 2023 Parent Company Financial Statement	A copy of the most recent audited financial statement for the ultimate parent of the company. (Due July 10, 2024)
7 EL-1 Report	A report of N.Y. business bound during 2023 as required by Reg. 41 §27.14(a) . This document should be prominently stamped "Confidential – Proprietary Information not to be released to third parties." The required DFS EL-1 Portal format is available on the Department's website. (To be submitted to DFS only through the DFS Portal)